

SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional) LCHLTD Date: May 12, 2014

IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.

ORGANIZATION LCH.Clearnet Limited

FILING AS A: DCM SEF DCO SDR
ECM/SPDC

TYPE OF FILING

- **Rules and Rule Amendments**

- Certification under § 40.6 (a) or § 41.24 (a)
- “Non-Material Agricultural Rule Change” under § 40.4 (b)(5)
- Notification under § 40.6 (d)
- Request for Approval under § 40.4 (a) or § 40.5 (a)
- Advance Notice of SIDCO Rule Change under § 40.10 (a)

- **Products**

- Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)
- Swap Class Certification under § 40.2 (d)
- Request for Approval under § 40.3 (a)
- Novel Derivative Product Notification under § 40.12 (a)

RULE NUMBERS

No changes to the LCH.Clearnet Rules & Regulations or Procedures.

DESCRIPTION

Self-certification pursuant to §40.6(a) relating to confidential information



VIA EMAIL TO: SUBMISSIONS@CFTC.GOV

12 May 2014

Ms. Melissa Jurgens
Commodity Futures Trading Commission
1155 21st Street NW
Three Lafayette Centre
Washington DC 20581

Dear Ms. Jurgens:

Pursuant to CFTC regulation §40.6(a), LCH.Clearnet Limited ("LCH.Clearnet"), a derivatives clearing organization registered with the Commodity Futures Trading Commission (the "Commission"), is filing a submission for self-certification (the "Confidential Submission"), to be implemented and become effective on 27 May 2014.

No rule changes are required to implement the changes outlined in the Confidential Submission.

LCH.Clearnet will continue to comply with all Core Principles following the implementation of these changes. These changes will ensure continued compliance with the Core Principles, in particular Core Principle D (Risk Management).

LCH.Clearnet, in accordance with Commission Regulation 145.9(d), has respectfully requested confidential treatment under the Freedom of Information Act, 5 U.S.C. § 552, for the Confidential Submission, including subsequent e-mails and replies between LCH.Clearnet and Commission staff.

There were no opposing views expressed to LCH.Clearnet by governing board or committee members, members of LCH.Clearnet or market participants that were not incorporated into the rule.

Certification

LCH.Clearnet Limited hereby certifies to the Commodity Futures Trading Commission, pursuant to the procedures set forth in the Commission regulation § 40.6, that attached rule submission complies with the Commodity Exchange Act, as amended, and the regulations promulgated there under. LCH.Clearnet further certifies that, upon the submission of this material, in compliance with §39.21 of the Commission's

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regulations, LCH.Clearnet will post a notice of pending certification with the CFTC and a copy of the submission, excluding the appendix, on LCH.Clearnet's website at http://www.lchclearnet.com/rules_and_regulations/ltd/proposed_rules.asp

Should you have any questions please contact me at julian.oliver@lchclearnet.com

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Julian Oliver'.

Julian Oliver, Director Compliance and Public Affairs
LCH.Clearnet Ltd